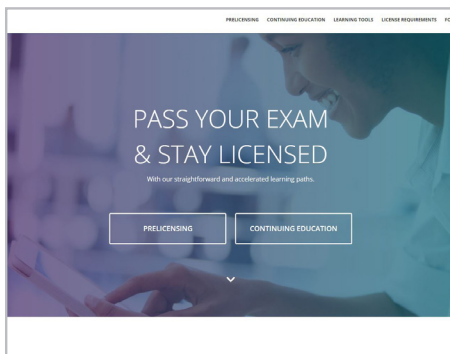


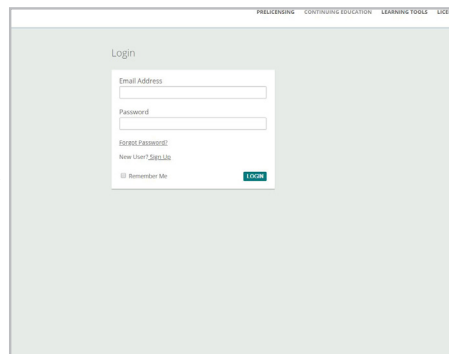
## Series 6 Licensing Study Calendar

This study program is designed to help you obtain your license by providing a full range of tools to prepare for your exams. By following the program as intended, you will have the best chance for success.

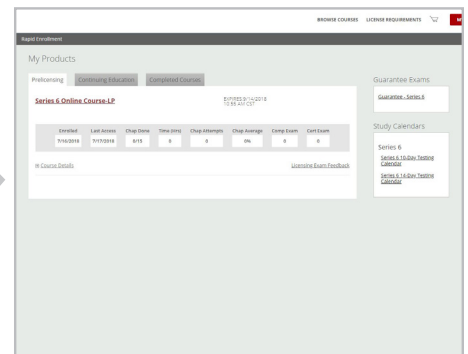
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**Log in** to your A.D. Banker account

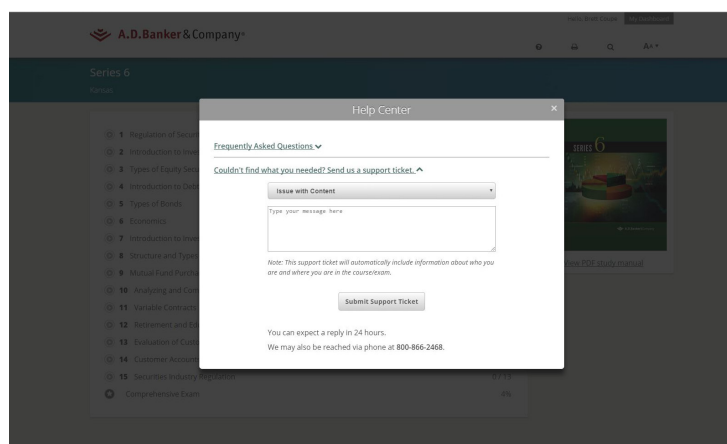


**Click** "Series 6 Online Course"



Let's address tips to help you make the best use of your study time. You can ask questions about study materials, get guidance and support.

- Inside Course: Click , type your question in the box and click "Submit"
- Outside Course: Email your question to [curriculum@adbanker.com](mailto:curriculum@adbanker.com)



## Series 6 Licensing Study Calendar

### STUDY TIPS

#### Follow Your Study Calendar

Your study calendar is located on the righthand side of your student Dashboard. Make sure you stay on schedule with the assignments.

#### Study for Comprehension

Look for the bold terms and definitions that will provide you with a basic understanding of the main ideas and concepts you will need to know. Make sure to study the “Key Concepts” highlighted throughout each chapter in your Online Course.

#### Take Notes

Note taking helps you retain information and is a critical step in the learning process. It helps you organize your thoughts and makes important connections between topics. As you progress through the Online Course, make sure you take notes. Writing your notes in your own words helps to better retain the information.

\*Click on Notes Feature in your Online Course to type (or copy and paste) your notes. Print your notes after each chapter, if your system allows you to.

Remember to:

- Focus on key information
- Make short, concise, bullet points
- Make flashcards, charts or diagrams to help understand complicated subjects

s and Exchange Commission (SEC)

ponents of the government's attempt to strengthen market regulation was the creation of an independent federal agency, called the Securities and Exchange Commission (SEC), functions as the ultimate enforcer of federal securities laws. The SEC has broad powers to regulate interstate transactions in the financial markets and to provide clearer insight into the business of publicly traded corporations. The initial goals of the SEC were to require issuers to provide accurate and balanced information regarding their securities, and to create and enforce penalties. The Division of Enforcement investigates and takes civil action against those violating federal securities laws. Criminal enforcement is under the jurisdiction of the Department of Justice.

#### NOTES



#### Retention Questions

The Online Course includes retention questions throughout each chapter designed to help reinforce the information you've just read. After answering each question, review the correct answer and explanation to make sure you understand the concept before moving forward.

#### Take Chapter Quizzes

At the end of each chapter, you will take a 15 question multiple choice quiz.

- You must score at least 80% on a chapter quiz to move to the next chapter
- Once you answer a question, click on the “next” button to review the correct answer, a brief explanation and a Relevant Content link
- Review each question and explanation before taking another quiz or moving forward to the next chapter
- A consistent score of at least 80% on the chapter quizzes will show you are ready to move forward to the next chapter

**Note:** If you are having difficulty consistently obtaining at least 80% after several quiz attempts, please reach out for assistance.

#### Take Comprehensive Exams

Once you have completed reading each chapter and passed the chapter quizzes in the Online Course, you will take the Comprehensive Exams.

##### Comprehensive Exams:

- 50 questions from all Chapters
- 2 hour and 15 minute time limit
- Simulates the Series 6 exam experience
- Each Comprehensive Exam attempt is timed and randomized
- A consistent score of at least 80% shows a high degree of retention of the entire course

After your exam is graded, use your Exam Results Summary as a guide to identify the chapters you need to review before the next exam attempt or taking the actual test.

#### Review Your Comprehensive Exams

You will not be able to review the correct answers until you complete and grade the exam. Once your exam is graded, it's important to review every question and each explanation carefully, even if you selected the correct response. Reviewing the questions you answered correctly reinforces what you know, or may identify questions you simply guessed at the answers. Knowing why a response is correct will also help you answer questions you encounter later that are similar, but not identical.

If you answered a question incorrectly, determine WHY you missed it. The problem may be found in the way the question was worded. For example, EXCEPT questions can often lead to incorrect responses **even when you understand the concept being tested**, because it is easy to forget you are looking for the false response.

Note any unfamiliar terms you will need to study. If you notice that you are consistently missing questions on a particular topic, make sure to review that section of the Online Course before taking another exam.

#### Areas of Concentration



Continue to review the heavily tested Chapters below, especially if you are not consistently scoring an 80% or higher on that chapter.

##### Heavily Tested Chapters:

- Investment Companies - Chapter 8-10
- Variable Products - Chapter 11
- Retirement and Education Planning - Chapter 12
- Customer Account - Chapter 14
- Securities Market Regulation - Chapter 15

## Series 6 Licensing Study Calendar

### 14-Day Study Calendar

<b>DAY 1</b>		 <p><b>Take Note</b> 80% required on Chapter Exams to advance to next chapter. After each exam review missed questions, "Rationale" and "Relevant Content".</p>	
<input type="checkbox"/>	<b>Read</b> Chapters 1,2,3		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 1,2,3		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 2</b>			
<input type="checkbox"/>	<b>Read</b> Chapters 4,5		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 4,5		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 3</b>			
<input type="checkbox"/>	<b>Read</b> Chapters 6,7		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 6,7		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 4</b>			<p><b>Areas of Concentration</b> Continue to review the heavily tested Chapters below, especially if you are not consistently scoring an 80% or higher on that Chapter.</p> <ul style="list-style-type: none"> <li>• Investment Companies - Chapter 8-10</li> <li>• Variable Products - Chapter 11</li> <li>• Retirement and Education Planning - Chapter 12</li> <li>• Customer Account - Chapter 14</li> <li>• Securities Market Regulation - Chapter 15</li> </ul>
<input type="checkbox"/>	<b>Read</b> Chapters 8,9,10		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 8,9,10		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 5</b>			
<input type="checkbox"/>	<b>Read</b> Chapters 11,12		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 11,12		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 6</b>			
<input type="checkbox"/>	<b>Read</b> Chapters 13,14,15		
<input type="checkbox"/>	<b>Take</b> Chapter Exams 13,14,15		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 7</b>		 <p><b>Take Note</b> If you receive less than an 80% on a specific Chapter in the Comprehensive Exam, review that Chapter.</p>	
<input type="checkbox"/>	<b>Take</b> Comprehensive Exam 1		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<input type="checkbox"/>	<b>Take</b> Comprehensive Exam 2		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<b>DAY 8</b>			
<input type="checkbox"/>	<b>Take</b> Comprehensive Exam 3		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<input type="checkbox"/>	<b>Review</b> Chapters 1-7		
<b>DAY 9</b>			
<input type="checkbox"/>	<b>Take</b> Comprehensive Exam 4		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<input type="checkbox"/>	<b>Review</b> Chapters 8-10		
<b>DAY 10</b>			
<input type="checkbox"/>	<b>Take</b> Comprehensive Exam 5		
<input type="checkbox"/>	<b>Review</b> Missed Questions/Relevant Content		
<input type="checkbox"/>	<b>Review</b> Chapters 11-12		

## Series 6 Licensing Study Calendar

### 14-Day Study Calendar

<b>DAY 11</b>		
<input type="checkbox"/>	<b>Take</b>	Comprehensive Exam 6
<input type="checkbox"/>	<b>Review</b>	Missed Questions/Relevant Content
<input type="checkbox"/>	<b>Review</b>	Chapters 13-14
<b>DAY 12</b>		
<input type="checkbox"/>	<b>Take</b>	Comprehensive Exam 7
<input type="checkbox"/>	<b>Review</b>	Missed Questions/Relevant Content
<input type="checkbox"/>	<b>Review</b>	Chapters 15
<b>DAY 13</b>		
<input type="checkbox"/>	<b>Review</b>	Chapters 8-11
<input type="checkbox"/>	<b>Take</b>	Chapter Exams 8-11
<b>DAY 14</b>		
<input type="checkbox"/>	<b>Review</b>	Chapters 12-15
<input type="checkbox"/>	<b>Take</b>	Chapter Exams 12-15
<input type="checkbox"/>	<b>Exam</b>	Series 6 Exam - Score 70 = <b>PASS</b>

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